



SPW

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant: Gencer, et al.

Examiner:

Serial No: 10/761,166

Group Art Unit:

Filed: 01/20/04

Date: May 16, 2006

For: ~~PROCESS FOR~~ INFUSING AN ALKALI METAL NITRITE INTO A
SYNTHETIC RESINOUS MATERIAL

Commission for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

**REQUEST TO CORRECT INVENTORSHIP IN A PATENT APPLICATION UNDER 37
C.F.R. §1.48(a)**

This request is to add Donald A. Kubik as an inventor. The fact that he was not named as an inventor occurred without deceptive intention and a statement concerning the same from Donald A. Kubik is enclosed herewith. Accordingly, he has requested that his name be added.

A Declaration by the actual inventors is enclosed herewith as required by 37 C.F.R. § 1.63 or as permitted by §1.42, §1.43, or §1.47.

The processing fee as set forth in §1.17(i) is enclosed herewith in the form of a check in the amount of \$130.00. The Commissioner is hereby authorized to charge Account No. 08-3150 for any fee deficiency.

Also enclosed herewith is a Written Consent of the Assignee, Northern Technologies International Corporation, that Donald A. Kubik be added as a co-inventor.

05/19/2006 AKELECH1 00000029 10761166

01 FC:1464

130.00 OP

Respectfully submitted,

HUDAK, SHUNK & FARINE CO. LPA

Daniel J. Hudak
By: Daniel J. Hudak

Registration No. 25,879

DJH/dp
2020 Front Street, Suite 307
Cuyahoga Falls, OH 44221
(330) 535-2220

Attorney Docket No.: NTIC-E-CIP



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

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P.O. Box 1450
Alexandria, Virginia 22313-1450

CERTIFICATE OF MAILING

Sir:

The undersigned hereby certifies that the attached **REQUEST TO CORRECT INVENTORSHIP IN A PATENT APPLICATION UNDER 37 C.F.R. §1.48(a), STATEMENT OF ADDING AN INVENTOR THAT THE ERROR OCCURRED WITHOUT DECEPTIVE INTENTION, DECLARATION OF ALL INVENTORS; PROCESSING FEE AS SET FORTH IN §1.17(i), AND CONSENT OF ASSIGNEE** were mailed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, with sufficient first-class postage, no special handling, on May 16, 2006, before 5:00 PM, thereby ensuring that such document(s) will be in the hands of the U.S. Postal Service by the close of business this day. Thus, timely response has been made to the outstanding Office Action prior to expiration of the shortened statutory period for the same ending NONE.

The Commissioner is hereby authorized to charge any fees which might be required or credit any overpayment of fees with regard to the attached document(s) to Account No. **08-3150**.

Respectfully submitted,

HUDAK & SHUNK CO., L.P.A.

By: Daniel J. Hudak

Registration No. 25,879

DJH/dp
2020 Front Street, Suite 307
Cuyahoga Falls, OH 44221
(330) 535-2220
Attorney Docket No.: NTIC-E-CIP

MAY 18 2006

PTO/SB/17 (12-04v2)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL
For FY 2005☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)

Complete if Known

Application Number 10/761,166

Filing Date 01/20/04

First Named Inventor Gencer et al.

Examiner Name

Art Unit

Attorney Docket No. NTICPO107A

METHOD OF PAYMENT (check all that apply)☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):☐ Deposit Account Deposit Account Number: Deposit Account Name:

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
Total Claims		
Extra Claims		
Fee (\$)		
Fee Paid (\$)		
- 20 or HP =	x	=
HP = highest number of total claims paid for, if greater than 20.		
Indep. Claims		
Extra Claims		
Fee (\$)		
Fee Paid (\$)		
- 3 or HP =	x	=
HP = highest number of independent claims paid for, if greater than 3.		

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Processing Fee for Request to Correct Inventorship \$130.00

SUBMITTED BY

Signature

Daniel J. Hudak

Registration No. 25,879
(Attorney/Agent)

Telephone 330-535-2220

Name (Print/Type)

Daniel J. Hudak

Date

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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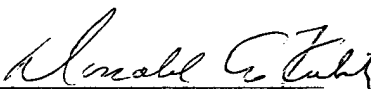
37 CFR 1.48(a)(2) STATEMENT

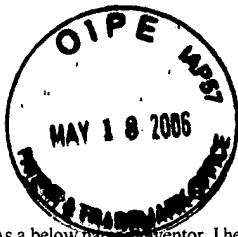
I, Donald A. Kubik do hereby state:

THAT I was inadvertently not named a co-inventor of patent application
10/761,166 filed January 20, 2004; and

THAT I am a co-inventor of U.S. patent application 10/761,166 and that the error
occurred without deceptive intention on my part.

Date: 4/5/06

By: 
Donald A. Kubik

**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

PROCESS FOR INFUSING AN ALKALI METAL NITRITE INTO A SYNTHETIC RESINOUS MATERIAL

the specification of which

(check one) ☐ is attached hereto.

☒ was filed on 01/20/04 as Application Serial No. 10/761,166

☐ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Number	Country	Day/Month/Year Filed	Priority Claimed	Yes	No

I hereby claim the benefit under Title 35, United States Code, §119(e) and/or Title 35, United States Code §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status: patented, pending, abandoned
10/347,661	January 20, 2003	Pending

I hereby appoint the following attorney(s) and or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith: Daniel J. Hudak, Reg. No. 25,879; Laura F. Shunk, Reg. No. 31,423; Cheryl L. Farine, Reg. No. 36,796; Frank C. Rote, Jr., Reg. No. 20,395; Nestor W. Shust, Reg. No. 23,034; Thomas M. Schmitz, Reg. No. 25,084; and Daniel J. Hudak, Jr., Reg. No. 47,669.

Address all telephone calls to: Daniel J. Hudak at telephone No. (330) 535-2220

Address all correspondence to: HUDAK, SHUNK & FARINE CO. LPA
Daniel J. Hudak
2020 Front Street, Suite 307
Cuyahoga Falls, Ohio 44221

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application of any patent issued thereon.

FIRST OR SOLE

Inventor(s) Signature

Inventor(s) Typed Name: Mehmet A. Gencer

Residence (City): Brecksville, OH

Post Office Address: 10988 Tanager Trail, Brecksville, Ohio 44141

Date

April 4, 2006

Citizenship: U.S.A.

State/Foreign Country: Ohio

DECLARATION FOR PATENT APPLICATION, page 2

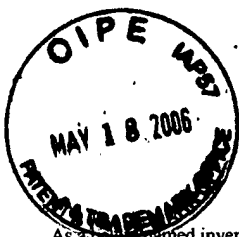
SECOND

Inventor(s) Signature _____ Date _____
Inventor(s) Typed Name: Sunggyu Lee Citizenship: Korean
Residence (City): Columbia, MO State/Foreign Country: Missouri
Post Office Address: 4912 McKenna Ct., Columbia, Missouri 65203 U.S.A.

THIRD

Inventor(s) Signature _____ Date _____
Inventor(s) Typed Name: Abhay Sardesai Citizenship: Indian
Residence (City): Columbia, MO State/Foreign Country: Missouri
Post Office Address: 28 Broadway Village Drive, Apt. F., Columbia, Missouri 65201

Inventor(s) Signature _____ Date _____
Inventor(s) Typed Name: Donald A. Kubik Citizenship: U.S.A.
Residence (City): Dickenson, North Dakota State/Foreign Country: North Dakota
Post Office Address: P.O. Box 1173, Dickenson, North Dakota, 58602

**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

As a duly identified inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

PROCESS FOR INFUSING AN ALKALI METAL NITRITE INTO A SYNTHETIC RESINOUS MATERIAL

the specification of which

(check one) ☐ is attached hereto.☒ was filed on 01/20/04 as Application Serial No. 10/761,166☐ and was amended on _____ (if applicable).

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DECLARATION FOR PATENT APPLICATION, page 2

SECOND
Inventor(s) Signature  Date April 6, 2006
Inventor(s) Typed Name: Sunggyu Lee Citizenship: Korean
Residence (City): Columbia, MO State/Foreign Country: Missouri
Post Office Address: 4912 McKenna Ct., Columbia, Missouri 65203 U.S.A.

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My residence, post office address and citizenship are as stated below next to my name.

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Citizenship: U.S.A.

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DECLARATION FOR PATENT APPLICATION, page 2

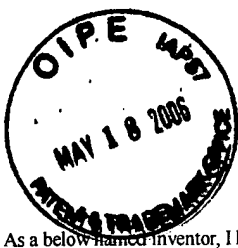
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THIRD

Inventor(s) Signature Abhay Sardesai Date 04/07/2006
Inventor(s) Typed Name: Abhay Sardesai Citizenship: Indian
Residence (City): Columbia, MO State/Foreign Country: Missouri
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2020 Front Street, Suite 307
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Citizenship: U.S.A.

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State/Foreign Country: Ohio

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DECLARATION FOR PATENT APPLICATION, page 2

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Inventor(s) Signature Donald A. Kubik Date 4/5/06
Inventor(s) Typed Name: Donald A. Kubik Citizenship: U.S.A.
Residence (City): Dickenson, North Dakota State/Foreign Country: North Dakota
Post Office Address: P.O. Box 1173, Dickenson, North Dakota, 58602



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Applicant: Gencer, et al.

Examiner:

Serial No: 10/761,166

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

WRITTEN CONSENT OF ASSIGNEE (37 C.F.R. § 1.48(a)(5)) AND
ESTABLISHMENT OF ASSIGNEES RIGHT TO TAKE ACTION UNDER
37 C.F.R. § 3.73

Ownership of the entire interest in the above-identified application by Northern Technologies International Corporation is hereby established as required by 37 C.F.R. § 3.73. Documentary evidence of a chain of title from the first named inventors to Northern Technologies International Corporation is recorded in the Assignment Records of the office on 9/26/05 at Reel 016841 at Frame 0577. A copy of the assignment is enclosed. The present application is a continuation-in-part of Serial Number 10/347,661, which was assigned to Northern Technologies International Corporation and was recorded in the assignment records of the U.S. Patent and Office on March 3, 2003 at Reel 013455 at Frame 0431, copy enclosed.


The Assignee, Northern Technologies International Corporation, hereby gives written consent to amend the inventorship of the above-identified application by adding Donald A. Kubik as a co-inventor.

The person signing this submission is authorized to act on behalf of the assignee, Northern Technologies International Corporation.

APRIL 4, 2006

Date

Docket No.: NTIC-E-CIP


Irina Roytman
Vice President International Coordinator



107-A

UNITED STATES PATENT AND TRADEMARK OFFICEUNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECEMBER 02, 2005

PTAS

700213175ADANIEL J. HUDAK
HUDAK, SHUNK & FARINE CO. LPA
2020 FRONT STREET, SUITE 307
CUYAHOGA FALLS, OH 44221***700213175A***UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES DIVISION, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 09/26/2005

REEL/FRAME: 016841/0577
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

GENCER, MEHMET A.

DOC DATE: 07/08/2005

ASSIGNOR:

LEE, SUNGGYU

DOC DATE: 07/18/2005

ASSIGNOR:

SARDESAI, ABHAY

DOC DATE: 07/25/2005

ASSIGNEE:

NORTHERN TECHNOLOGIES
INTERNATIONAL CORPORATION
23205 MERCANTILE ROAD
BEACHWOOD, OHIO 44122

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PAGE 003/004 Fax Server

016841/0577 PAGE 2

SERIAL NUMBER: 10761166

PATENT NUMBER:

TITLE: PROCESS FOR INFUSING AN ALKALI METAL NITRITE INTO A SYNTHETIC
RESINOUS MATERIAL

FILING DATE: 01/20/2004

ISSUE DATE:

TONYA LEE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

09/20/2005
700213175Form PTO-1595 (Rev. 09/04)
OMB No. 0851-0027 (exp. 6/30/2005)U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark OfficeRECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Mehmet A. Gencer
Sunggyu Lee
Abhay SardesaiExecution Date(s) 07/08/2005; 07/18/2005; 07/25/2005Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: Northern Technologies International Corporation

Internal Address: _____

Street Address: 23205 Mercantile RoadCity: BeechwoodState: OHCountry: USA Zip: 44122Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

10761166

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Daniel J. HudakInternal Address: Hudak, Shunk & Farine Co. LPAStreet Address: 2020 Front Street, Suite 307City: Cuyahoga FallsState: OH Zip: 44221Phone Number: 330-635-2220Fax Number: 330-635-1435

Email Address: _____

6. Total number of applications and patents involved: 17. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____b. Deposit Account Number 08-3150Authorized User Name Daniel J. Hudak9. Signature: Daniel J. Hudak

Signature

July 27, 2005
Date

Daniel J. Hudak, Reg. No. 25,879

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 5Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

U.S. 540.00 08-3150 1076-1166

ASSIGNMENT

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned by the Assignee,

NORTHERN TECHNOLOGIES INTERNATIONAL CORPORATION,

a corporation organized under the laws of the State of Delaware, domiciled at

23205 Mercantile Road, Beachwood, Ohio 44122

U.S.A., receipt whereof is hereby acknowledged, the undersigned by these presents hereby sells, assigns, transfers, and sets over unto said assignee the entire right, title and interest in and to the invention or improvement in U.S. Serial No. 10/761,166, filed January 20, 2004, for

"PROCESS FOR INFUSING AN ALKALI METAL NITRITE INTO A SYNTHETIC RESINOUS MATERIAL"

said invention being fully described and/or claimed in the application for Letters Patent of the United States of America, executed this date(s), as well as any divisional, continuing, reissue, reexamination, or other patent applications, in and for the United States and all foreign countries, the same to be held and enjoyed by said assignee, its successors, assigns or other legal representatives, to the full ends of the terms for which all Letters Patent therefor may be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment and sale had not been made.

AND SAID ASSIGNEE IS HEREBY AUTHORIZED to make application for and to receive Letters Patent for said invention in any of said countries at its election.

AND BY THIS COVENANT the undersigned will execute or procure any further necessary assurance of title to said invention and Letters Patent; and at any time, upon the request of said assignee, will execute and deliver any and all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patent which may be granted therefor to said Assignee, its successors, assigns or other legal representatives, and, upon the request of said assignee, will execute any divisional, continuing, reissue, reexamination, or other applications for patents for said invention, in any country, and will make all rightful oaths and do all lawful acts requisite for aiding, procuring, or maintaining the same or for aiding therein.

AND THE COMMISSIONER of Patents is hereby authorized and requested to issue any and all Letters Patent to the United States for said invention, to said assignee.

SIGNED and sealed as indicated adjacent our signatures.

Date: July 25, 2005

Mehmet A. Gencer
Mehmet A. Gencer

STATE OF Ohio)
COUNTY OF Cuyahoga) SS:

BEFORE ME, a Notary Public in and for said County and State, personally appeared **MEHMET A. GENCER** who signed the foregoing ASSIGNMENT in my presence and who acknowledged the same to be his free act and deed.

IN WITNESS WHEREOF, I have hereunto set my hand and official seal this 25th day of July, 2005.



DARLENE D. DEVEREAUX
Resident of Summit County
Notary Public, State of Ohio
My commission expires 12/27/09

Darlene D. Devereaux
Notary Public

SIGNED and sealed as indicated adjacent our signatures.

Date: JULY 08, 2005

Sunggyu Lee
Sunggyu Lee

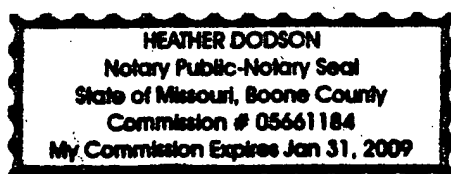
STATE OF MISSOURI)
COUNTY OF BOONE) SS:

BEFORE ME, a Notary Public in and for said County and State, personally appeared **SUNGGYU LEE** who signed the foregoing ASSIGNMENT in my presence and who acknowledged the same to be his free act and deed.

IN WITNESS WHEREOF, I have hereunto set my hand and official seal this 8th day of JULY, 2005.

(SEAL)

Heather Dodson
Notary Public





UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

MARCH 06, 2003

PTAS

RENNER, OTTO, BOISSELLE & SKLAR, LLP
JOSEPH J. CRIMALDI
1621 EUCLID AVENUE, 19TH FLOOR
CLEVELAND, OHIO 44115-2191

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NOTICE

UNITED STATES PATENT AND TRADEMARK OFFICE
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RECORDATION DATE: 03/03/2003

REEL/FRAME: 013455/0431

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

GENCER, MEHMET A.

DOC DATE: 02/04/2003

ASSIGNOR:

LEE, SUNGGYU

DOC DATE: 02/20/2003

ASSIGNOR:

SARDESAI, ABHAY

DOC DATE: 02/20/2003

ASSIGNOR:

KUBIK, DONALD A.

DOC DATE: 02/07/2003

ASSIGNEE:

NORTHERN TECHNOLOGIES INTERNATIONAL
CORPORATION
23205 MERCANTILE ROAD
BEACHWOOD, OHIO 44122

SERIAL NUMBER: 10347661

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

013455/0431 PAGE 2

ALLYSON PURNELL, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

03/03/2003

FORM PTO-1595
(REV 6-93)RECORDATION FORM COVER SHEET
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office
NTICP0107US

OMB No. 0651-011 (exp. 4/84)

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Mehmet A. Gencer
Sunggyu Lee
Abhay Sardesai
Donald A. Kubik

2. Name and address of receiving party(ies):

Name: Northern Technologies International Corporation

Internal Address: _____

Street Address: 23205 Mercantile RoadCity: BeachwoodState/Country: Ohio / USA ZIP: 44122Additional name(s) of conveying
party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____Additional name(s) & address(es) attached? ☐ Yes ☒ NoExecution Date: Gencer 02/04/03; Kubik 02/07/03;
Lee and Sardesai 2/20/03

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/347,661

B. Patent No.(s)

Additional Numbers attached? ☐ Yes ☒ No5. Name and address of party to whom
correspondence concerning document
should be mailed:Name: Joseph J. CrimaldiInternal Address: Renner, Otto, Boisselle & Sklar, LLPStreet Address: 1621 Euclid Avenue - 19th FloorCity: ClevelandState: Ohio ZIP: 44115-21916. Total number of applications and patents involved: ☒ 17. Total fee (37 CFR 3.41)\$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

18-0988

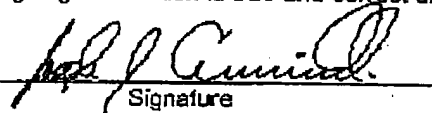
(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy
of the original document.Joseph J. Crimaldi

Name of Person Signing



Signature

March 3, 2003

Date

Reg. No. 41,690 Total number of pages including cover sheet attachments, and document: ☒ 2Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

For One Dollar (\$1.00) and other good and valuable consideration received of the hereinafter named assignee, receipt of which is hereby acknowledged, the undersigned

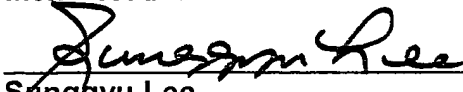
Mehmet A. Gencer
Abhay Sardesai

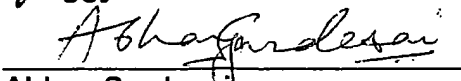
Sunggyu Lee
Donald A. Kubik

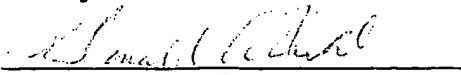
hereby sell and assign to **NORTHERN TECHNOLOGIES INTERNATIONAL CORPORATION**, a corporation organized and existing under the laws of the State of Delaware, having a place of business at 23205 Mercantile Road, Beachwood, Ohio 44122, its successors and assigns, the entire right, title and interest, so far as concerns the United States and the Territories and Possessions thereof and all foreign countries, in and to the inventions in **PROCESS FOR INCORPORATING ONE OR MORE MATERIALS INTO A POLYMER COMPOSITION AND PRODUCTS PRODUCED THEREBY** set forth in the application for United States Letters Patent executed by the undersigned on January 20, 2003 which was assigned Serial No. 10/347,661 said application for United States Letters Patent, any and all other applications for Letters Patent on said inventions in countries foreign to the United States, including all divisional, renewal, substitute, continuation and Convention applications based in whole or in part upon said inventions or upon said applications, and any and all Letters Patent and reissues and extensions of Letters Patent granted for said inventions or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said applications and said Letters Patent; said assignee being hereby authorized to file patent applications in any or all countries on any or all said inventions in the name of the undersigned or in the name of said assignee or otherwise as said assignee may deem advisable, under the International Convention or otherwise; the Commissioner of Patents of the United States of America and the empowered officials of all other governments being hereby authorized to issue/transfer all said Letters Patent to said assignee in accordance herewith; this assignment being under covenant, not only that full power to make the same is had by the undersigned, but also that such assigned right is not encumbered by any grant, license, or other right heretofore given, and that the undersigned will do all acts reasonably serving to assure that said inventions, patent applications and Letters Patent shall be held and enjoyed by said assignee as fully and entirely as the same could have been held and enjoyed by the undersigned if this assignment had not been made, and particularly to execute and deliver to said assignee all lawful documents including petitions, specifications, oaths, assignments, invention disclaimers, and lawful affidavits in form and substance which may be requested by said assignee, to furnish said assignee with all facts relating to said inventions or the history thereof and any and all documents, photographs, models, samples or other physical exhibits which may be used for establishing the facts of conception, disclosure and reduction to practice of said inventions, and to testify in any proceedings relating to said inventions, patent applications and Letters Patent.

Signed:


Mehmet A. Gencer


Sunggyu Lee


Abhay Sardesai


Donald A. Kubik

Dated:

February 4, 2003

Feb. 20, 2003

Feb. 20, 2003

Feb. 7, 2003